



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2814  
#4/IDS  
2-7-02  
Mollisi

Applicant(s): Eliyahou Harari; George Samachisa; Jack H. Yuan; Henry Chien.  
Assignee: SanDisk Corporation  
Title: Non Volatile Memory Cells Utilizing Substrate Trenches  
Serial No.: 09/925,134 ✓ Filing Date: August 8, 2001  
Examiner: Not Yet Assigned Group Art Unit: 2814  
Docket No.: M-10237-1P US

San Francisco, California  
January 25, 2002

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, or

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3. an admission that the information cited herein is, or is considered to be,  
material to patentability as defined in § 1.56(b).

EXPRESS MAIL  
LABEL NO:

EL 751263393 US

Respectfully submitted,

Gerald P. Parsons

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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-10237 US		09/860,704	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s)			
(Use several sheets if necessary)					Eliyahou Harari; Jack H. Yuan; George Samachisa..			
					Filing Date		Group	
					May 18, 2001			

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U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,990,979	2/5/1991	Otto			
	AB	4,975,384	12/4/1990	Baglee			
	AC	4,811,067	3/7/1989	Fitzgerald et al.			
	AD	4,835,741	5/30/1989	Baglee			
	AE	4,890,145	12/26/1989	Malhi			
	AF						
	AG						
	AH						
	AI						
	AJ						
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Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AQ	
	AR	
	AS	

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

